

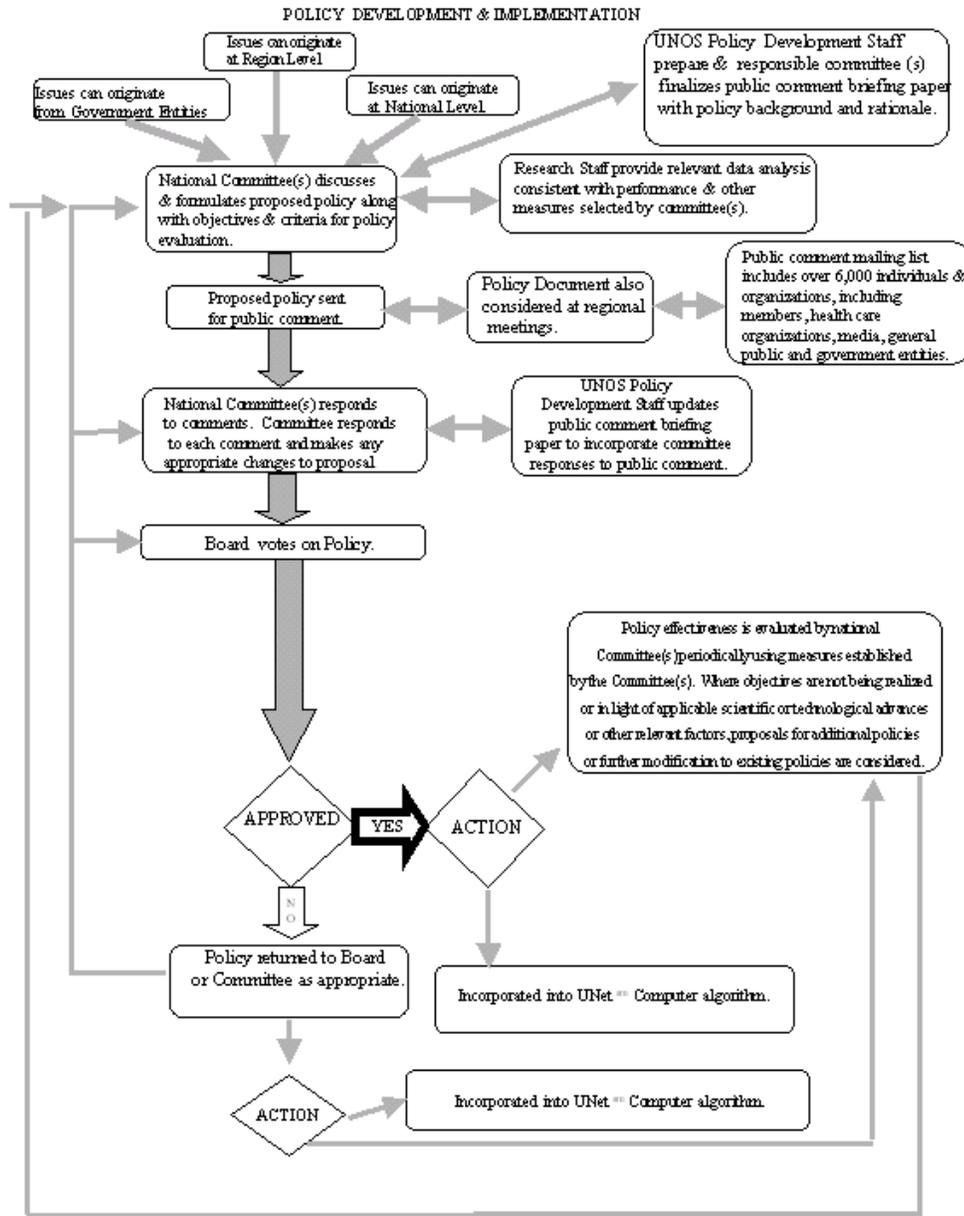
**APPENDIX C TO BYLAWS
UNOS**

ADOPTION OF POLICIES AND STANDARDS

- 1.01C Board of Directors.** The Board of Directors is responsible for developing and implementing, with the advice of the UNOS membership and other interested parties, policies and standards within the mission of UNOS. The process for this effort is depicted in Figure C-1 and described in the following sections.
- 1.02C Formulation.** Proposals for new or modifications to existing policies or standards are developed by or submitted to one or more of the Committees, as defined in Article VII of the Bylaws. Proposals may be forwarded by any interested party directly to the Committee Chair or through the President or other Principal Officer or their respective representatives. Proposals may be distributed to one or more Committees as appropriate. Data, analyses, and other information deemed relevant by the reviewing Committee(s) to assess the merits and disadvantages of proposals shall be provided. In developing allocation policy, such data (stratified by appropriate and feasible geographic units) shall include effect on transplant programs that perform different transplant volumes, inter-transplant program organ-specific analyses, risk-adjusted total life-years pre- and post-transplant, risk-adjusted post-transplant patient and graft survival rates, risk-adjusted waiting time, risk-adjusted transplantation rates, performance of OPOs, and/or other data determined to be appropriate by the reviewing Committee(s). An intent is to select performance indicators to measure the effect of the proposal, using baseline data to indicate how the current policy is performing and projected data to estimate results from the policy proposal. Review of such information may result in additional questions and the need for further study and analysis, dismissal of the proposal, or formulation of a proposal that is approved by the Committee.
- 1.03C Evaluation.** Prior to submission of a policy proposal to the Board of Directors for consideration, certain proposals shall be distributed for public comment. Exceptions to this general rule include: matters that require immediate action due to patient health and safety factors, proposals that clarify or correct existing policy rather than substantively change policy, and proposals that are otherwise administrative versus substantive in nature. The period for public comment is 45 days or such lesser period as may be appropriate in light of relevant time constraints or other intervening events, while continuing to provide realistic opportunity to receive and reply to the information.
- Public comments received by the close of the public comment period shall be reviewed and responded to by the reviewing Committee(s). Comments received after the response deadline may be reviewed and responded to at the discretion of the Chair(s) of the reviewing Committee(s). Following consideration of the comments and any additional information requested by the Committee(s), the Committee(s) shall make any modifications to the proposal deemed appropriate, including dismissal of the proposal for further consideration. The policy briefing paper shall be updated to include responses to the public comments and the final proposal.
- 1.04C Adoption.** Recommendations for new or modifications to existing policy are offered to the Board of Directors for deliberation and action. The Board of Directors may approve the recommendation without amendment, approve the recommendation with amendment, disapprove the recommendation, refer the recommendation back to the reviewing Committee(s) or to other Committees or sources for additional consideration, or take such other action as the Board deems appropriate. Such recommendations also may be considered and acted upon by the Executive Committee in the interim between meetings of the Board of Directors and on behalf of the Board subject to final determinations by the full Board.
- 1.05C Implementation.** Policies finally approved by the Board of Directors are implemented pending incorporation into the UNetsm computer allocation and matching algorithm, as necessary, and appropriate notice to UNOS Members of the new or modified policy using, for example, mailings, newsletters, or the Internet.

1.06C Post Implementation Assessment. UNOS policies shall be re-evaluated periodically by the applicable reviewing Committees using measures established by the Committees in an effort to assess whether they are meeting stated objectives and in light of applicable scientific and technological advances. Depending on the outcomes of these assessments, proposals for additional policies or further modifications to existing policies may be formulated consistent with Section 1.02C above.

1.07C Status of UNOS Policies. All policies of UNOS presently are voluntary.



Appendix C